

CAROL A. HEIMER

Curriculum Vitae

2019

ADDRESSES:

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CITIZENSHIP: U.S.A.

EDUCATION

1981 Ph.D., University of Chicago
1976 M.A., University of Chicago
1973 B.A., Reed College

EMPLOYMENT

Current: Professor of Sociology, Northwestern University (at Northwestern since 1983). Courtesy appointment in Management and Organizations, Kellogg Graduate School of Business, Northwestern University.
Current: Research Professor, American Bar Foundation (at ABF since 1990)
2015 Visiting Fellow,
2005-08 Affiliate, Makerere Institute of Social Research, Makerere University, Kampala, Uganda
2006-07 Director, Legal Studies Program, Northwestern University
2006 Visiting Lecturer, Department of Sociology, University KwaZulu-Natal, Durban, South Africa
2000-03 Chair, Department of Sociology, Northwestern University
1990-94 Director, Business Institutions Certificate Program, Northwestern University
1985-89 Research Faculty, Center for Urban Affairs and Policy Research, Northwestern University
1979-86 Visiting Researcher, Institute of Industrial Economics, Bergen, Norway (five visits for periods varying from 1 to 6 months)
1985 Visiting Fellow in Sociology, Research School of the Social Sciences, Australian National University (three-month summer visit)
1982-83 Visiting Senior Research Associate, Graduate School of Business, Stanford University
1979-83 Instructor to Assistant Professor of Sociology, University of Arizona
1976-78 Research Assistant and Assistant Survey Director, National Opinion Research Center

HONORS, GRANTS, AND FELLOWSHIPS

2018-19 Participant, Public Voices Thought Leadership Fellowship Program, Northwestern University
2018 Summer research grant (from MacArthur Chair in Sociology) for student/faculty project with Arielle Tolman
2016-19 "Biomedicine and Traditional Medicine Across Cultures: Unpacking Global Systems of Law, Knowledge, and Governance." Helen Tilley, PI; Jeannette Colyvas, Carol Heimer, Laura Pedraza-Farina, Rebecca Seligman, co-PIs. Big Ideas Award, Buffet Institute for Global Studies, Northwestern University
2015-16 Lenore Annenberg and Wallis Annenberg Fellowship in Communication at the Center for Advanced Study in the Behavioral Sciences, Stanford University (accepted)
2015-16 Fellowship, Radcliffe Institute for Advanced Study, Harvard University (declined)
April, 2015 Visitor, Centre de Sociologie des Organisations, Institut d'Études Politiques (Sciences Po), Paris

- 2014 Recipient, Star-Nelkin Award for best recent article, Science, Knowledge, and Technology Section, American Sociological Association, honoring “Inert Facts and the Illusion of Knowledge”
- 2013 Research grant, American Bar Foundation, for “Punctuated Globalization: Law, Institutionalization, and Globalization in Medicine and Health Care”
- 2011 Summer research grants (from MacArthur Chair in Sociology) for student/faculty joint projects for project with Jaimie Morse
- 2011 Elected to membership, the Macro-Organizational Behavior Society (MOBS)
- 2010 Summer research grants (from MacArthur Chair in Sociology) for student/faculty joint projects for project with Jaimie Morse
- 2008 Book writing grant, American Bar Foundation, for “The Legal Transformation of Medicine: How Rules Work in the International World of HIV/AIDS”
- 2007-08 Visiting Fellow, Program in Law and Public Affairs, Princeton University
- 2006 Summer research grants (from MacArthur Chair in Sociology) for student/faculty joint projects for project with Gabriel Abend, for project with Alan Czaplicki
- 2005 Recipient, Clarence Ver Steeg Faculty Award for excellence in work with doctoral students.
- 2003-07 Research grant from National Science Foundation for "Clinic-Level Law: The 'Legalization' of Medicine in AIDS Treatment and Research." NSF SES – 0319560
- 2003 Research grant from Russell Sage Foundation for “Trustworthiness and Trust in Medical Treatment and Research: An Ethnographic Study of the Effects of the ‘Legal Turn’ in Medicine.”
- 2002 Elected to membership, Sociological Research Association (SRA)
- 2001 Research grant from American Bar Foundation for “Clinic-Level Law: The Legalization of Medicine in AIDS Treatment and Research”
- 2000 Recipient, Theory Prize (awarded for by the Theory Section, American Sociological Association), honoring *For the Sake of the Children*
- 2000 Recipient, Eliot Freidson Award (awarded by the Medical Sociology Section of the American Sociological Association), honoring *For the Sake of the Children*
- 2000 & ‘01 Summer research grants (from MacArthur Chair in Sociology) for student/faculty joint projects with R. Culyba and J. Petty
- 1999 Finalist, C. Wright Mills Award (Society for the Study of Social Problems), honoring *For the Sake of the Children*
- 1997-98 Senior Fellow, Law Program, Research School of the Social Sciences, Institute for Advanced Study, Australian National University
- 1997 Research grant from American Bar Foundation for “The Social Organization of Responsibility”
- 1995 Recipient, prize for best recent article, Theory Section of the American Sociological Association, honoring “Doing Your Job *and* Helping Your Friends”
- 1994 Project funding from American Bar Foundation for “Full Compliance? Law and Social Control in Neonatal Intensive Care.”
- 1991, ‘92, & ‘95 Summer research grants (from MacArthur Chair in Sociology for student/faculty joint projects) — with L. Staffen, K. Devers, M. Stevens, and M. Lopez
- 1991 Keynote speaker, Asilomar Conference on Organizations.
- 1988 Grant from University Research Grants Committee for research on gender and responsibility.
- 1986 E.G.O.S. (European Group for Organization Studies) Award from American Sociological Association Section on Organizations and Occupations for *Reactive Risk and Rational Action*
- 1981-82 Grant for Faculty Research, University of Arizona, for pilot study of family dynamics and sex roles and team teaching (with A.L. Stinchcombe) of yearlong graduate seminar.
- 1974-79 Fellow, Center for Social Organization Studies, University of Chicago.
- 1973-76 National Science Foundation Graduate Fellowship.
- 1973 Phi Beta Kappa.

BOOKS

- C.A. Heimer & L.R. Staffen. 1998. *For the Sake of the Children: The Social Organization of Responsibility in the Hospital and the Home*. Chicago: University of Chicago Press.
(Chapter 1 reprinted in abridged form in *Reading between the Lines*, edited by Konradi and Schmidt. Mayfield Publishing.)
- C.A. Heimer. 1985. *Reactive Risk and Rational Action: Managing Moral Hazard in Insurance Contracts*. Berkeley: University of California Press.

- A.L. Stinchcombe & C.A. Heimer. 1985. *Organization Theory and Project Management: Administering Uncertainty in Norwegian Offshore Oil*. Bergen and New York: Norwegian University Press and Oxford University Press.
- A.L. Stinchcombe, R.G. Adams, C.A. Heimer, K.L. Scheppele, T.W. Smith, & D.G. Taylor. 1980. *Crime and Punishment—Changing Attitudes in America*. New York: Jossey Bass.

ARTICLES AND CHAPTERS

- C.A. Heimer. 2018. "The Uses of Disorder in Negotiated Information Orders: Information Leveraging and Changing Norms in Global Public Health Governance." *British Journal of Sociology* 69(4):910-935.
- C.A. Heimer. 2018. "What Is a Clinic: Relationships and the Practice of Organizational Ethnography." *Sociological Methods and Research*. Published online January 2018, awaiting print publication.
- Meurice, M., R. Genadry, C. Heimer, G. Ruffer, B.J. Kafunjo. 2017. "Social Experiences of Women with Obstetric Fistula Seeking Treatment in Kampala, Uganda." *Annals of Global Health* 83 (3-4):541-549.
- C.A. Heimer & J. Morse. 2016. "Colonizing the Clinic: The Adventures of Law in HIV Treatment and Research." Pp. 69-95 in H. Klug & S.E. Merry, eds., *The New Legal Realism, Volume II: Studying Law Globally*. Cambridge University Press.
- C.A. Heimer & J. Morse. 2015. "The Sociology of Law." *International Encyclopedia of the Social and Behavioral Sciences*, 2nd Ed., Vol. 13, pp. 598-603.
- C.A. Heimer. 2013. "'Wicked' Ethics: Compliance Work and the Practice of Ethics in HIV Research." *Social Science and Medicine* 98:371-378.
- C.A. Heimer. 2013. "Resilience in the Middle: Contributions of Regulated Organizations to Regulatory Success." *Annals of the American Academy of Political and Social Science* 649:139-156.
- C.A. Heimer. 2013. "Failed Governance: A Comment on Baker and Griffith's *Ensuring Corporate Misconduct*." *Law and Social Inquiry* 38:480-492.
- C.A. Heimer & J. Lynn Gazley. 2012. "Performing Regulation: Transcending Regulatory Ritualism in HIV Clinics." *Law and Society Review* 46 (4):853-887.
- C.A. Heimer. 2012. "Inert Facts and the Illusion of Knowledge: Strategic Uses of Ignorance in HIV Clinics." *Economy and Society* 41 (1):17-41. (Reprinted as Chapter 2 of Linsey McGoe, ed., *An Introduction to the Sociology of Ignorance: Essays on the Limits of Knowing*. Routledge, 2014.)
- C.A. Heimer. 2011. "Disarticulated Responsiveness: The Theory and Practice of Responsive Regulation in Multi-Layered Systems." *University of British Columbia Law Review* 44 (3):663-693.
- J. Petty & C.A. Heimer. 2011. "Extending the Rails: How Research Reshapes Clinics." *Social Studies of Science* 41 (3):337-360.
- C.A. Heimer. 2010. "The Unstable Alliance of Law and Morality." Pp. 179-202 in *Handbook of the Sociology of Morality*, ed. by Steven Hitlin and Stephen Vaisey. Springer.
- C.A. Heimer & J. Petty. 2010. "Bureaucratic Ethics: IRBs and the Legal Regulation of Human Subjects Research." *Annual Review of Law and Social Science* 6:601-626.
- C.A. Heimer. 2009. "Legal Systems and Moral Codes." Pp. 67-72 in Steven Hitlin and Jan E. Stets, eds., *Report: Science of Morality Workshop: Disciplinary and Interdisciplinary Approaches Now and in the Future*. Sociology Program, National Science Foundation.
- C.A. Heimer & A.L. Stinchcombe. 2009. "Biographies, Legal Cases and Political Transitions." Pp. 283-315 in Suzanne Karstedt, ed., *Legal Institutions and Collective Memories*. Oxford, UK: Hart Publishing.
- C.A. Heimer. 2008. "Thinking About How to Avoid Thought: Deep Norms, Shallow Rules, and the Structure of Attention." *Regulation and Governance* 2(1):30-47.
- C.A. Heimer. 2007. "Old Inequalities, New Disease: HIV/AIDS in Sub-Saharan Africa." *Annual Review of Sociology* 33:551-77.
- C.H. Bledsoe, B. Sherin, A.G. Galinsky, N.M. Headley, C.A. Heimer, E. Kjeldgaard, J. Lindgren, J.D. Miller, M.E. Roloff, & D.H. Uttal. 2007. "Regulating Creativity: Research and Survival in the IRB Iron Cage." *Northwestern University Law Review* 101 (2):593-641.
- C.A. Heimer. 2006. "Conceiving Children: How Documents Support Case versus Biographical Analyses." Pp. 95-126 in Annelise Riles, *Documents: Artifacts of Modern Knowledge*. Ann Arbor, MI: University of Michigan Press.
- C.A. Heimer. 2006. "Responsibility in Health Care: Spanning the Boundary Between Law and Medicine." *Wake Forest Law Review* 41 (2): 465-507. Special issue on "Rethinking Health Law."
- C.A. Heimer, J.C. Petty, & R.J. Culyba. 2005. "Risk and Rules: The 'Legalization' of Medicine." Pp. 92-131 in Bridget Hutter and Michael Power, eds., *Organizational Encounters with Risk*. Cambridge, UK: Cambridge

- University Press.
- R.J. Culyba, C.A. Heimer, & J.C. Petty. 2004. "The Ethnographic Turn: Fact, Fashion, or Fiction." *Qualitative Sociology* 27 (4):365-489. (Reprinted in W. Paul Vogt, ed., *Data Collection*. London: Sage Publications. 2010.)
- C.A. Heimer. 2003. "Insurers as Moral Actors." Pp. 284-316 in Richard V. Ericson and Aaron Doyle, eds., *Risk and Morality*. Toronto, Canada: University of Toronto Press.
- C.A. Heimer. 2002. "Insuring More, Ensuring Less: The Costs and Benefits of Private Regulation through Insurance." Pp. 116-45 in Tom Baker and Jonathan Simon, eds., *Embracing Risk*. Chicago: University of Chicago Press.
- C.A. Heimer. 2001. "Law: New Institutionalism." Pp. 8534-38, Neil J. Smelser and Paul B. Bates, eds., *International Encyclopedia of the Social and Behavioral Science*, vol. 12. Pergamon, Oxford.
- C.A. Heimer. 2001. "Cases and Biographies: An Essay on Routinization and the Nature of Comparison." *Annual Review of Sociology* 27:47-76.
- C.A. Heimer. 2001. "Solving the Problem of Trust." Pp. 40-88 Karen S. Cook, ed., *Trust in Society*. New York: Russell Sage Foundation.
- A.L. Stinchcombe & C.A. Heimer. 2000. "Retooling for the Next Century: Sober Methods for Studying the Subconscious." *Contemporary Sociology* 29 (2):309-19.
- C.A. Heimer & A.L. Stinchcombe. 1999. "Remodeling the Garbage Can: Implications of the Origins of Items in Decision Streams." Pp. 25-57 in Morten Egeberg and Per Læg Reid, eds., *Organizing Political Institutions: Essays for Johan P. Olsen*. Scandinavian University Press.
- C.A. Heimer. 1999. "Competing Institutions: Law, Medicine, and Family in Neonatal Intensive Care." *Law and Society Review* 33(1):17-66. (Reprinted in John P. Heinz, ed., *Analyzing Law's Reach: Empirical Research on Law and Society*. Chicago IL, ABA. pp. 375-422. 2008.)
- C.A. Heimer & A.L. Stinchcombe. 1997. "Elements of the Cooperative Solution: Law, Economics, and the Other Social Sciences." *Wisconsin Law Review* 1997 (3):421-32.
- C.A. Heimer & M.L. Stevens. 1997. "Caring for the Organization: Social Workers as Frontline Risk Managers in Neonatal Intensive Care Units," *Work and Occupations* 24 (2):133-63.
- C.A. Heimer. 1996. "Gender Inequalities in the Distribution of Responsibility." Pp. 241-73 in James Baron, David Grusky, and Donald Treiman, eds., *Social Differentiation and Social Inequality*. Boulder, CO: Westview Press.
- C.A. Heimer. 1996. "Explaining Variation in the Impact of Law: Organizations, Institutions, and Professions." *Studies in Law, Politics and Society* 15:29-59. (Reprinted in Colin D. Scott, ed., *Regulation*, volume in *International Library of Essays in Law and Legal Theory*, Ashgate Publishing, UK, 2003.)
- C.A. Heimer & L.R. Staffen. 1995. "Interdependence and Reintegrative Social Control: Labeling and Reforming 'Inappropriate' Parents in Neonatal Intensive Care Units." *American Sociological Review* 60 (October):635-54. (Reprinted in *The International Library of Medicine, Ethics, and Law*. Ashgate Publishing, UK. 2000.)
- C.A. Heimer. 1992. "Doing Your Job and Helping Your Friends: Universalistic Norms about Obligations to Particular Others in Networks." Pp. 143-64 in Nitin Nohria and Robert G. Eccles, eds., *Networks and Organizations: Structure, Form, and Action*. Boston, MA: Harvard Business School Press. (Reprinted in Mary Godwyn and Jody Hoffer Gittel, eds., *Sociology of Organizations: Structures and Relationships*. Thousand Oaks, CA: Pine Forge Press. 2011.)
- C.A. Heimer. 1992. "Your Baby's Fine, Just Fine: Certification Procedures, Meetings, and the Supply of Information in Neonatal Intensive Care Units." Pp. 161-88 in James F. Short, Jr., and Lee Clarke, eds., *Organizations, Uncertainties, and Risk*. Boulder, CO: Westview Press.
- C.A. Heimer. 1988. "Social Structure, Psychology, and the Estimation of Risk." *Annual Review of Sociology* 14: 491-519.
- A.L. Stinchcombe & C.A. Heimer. 1988. "Interorganizational Relations and Careers in Computer Software Firms." *Research in the Sociology of Work*, Volume 4: *High Tech Work*: 179-204.
- C.A. Heimer. 1985. "Allocating Information Costs in a Negotiated Information Order: Interorganizational Constraints on Decision Making in Norwegian Oil Insurance." *Administrative Science Quarterly* 30 (3):395-417.
- C.A. Heimer. 1984. "Organizational and Individual Control of Career Development in Engineering Project Work." *Acta Sociologica* 27 (4):283-310. (Reprinted in Stinchcombe & Heimer, *Organization Theory and Project Management*; see above.)
- C.A. Heimer. 1982. "The Racial and Organizational Origins of Insurance Redlining." *The Journal of Intergroup Relations* 10 (3):42-60.

C.A. Heimer & A.L. Stinchcombe. 1980. "Love and Irrationality: It's Got to Be Rational to Love You Because It Makes Me Happy." *Social Science Information* 19 (4/5):697-754.

BOOK REVIEWS

- Anna Kirkland, *Vaccine Court: The Law and Politics of Injury*. Reviewed for *Law and Society Review* 52(1):273-276. 2018.
- Jonathan B. Imber, *Trusting Doctors: The Decline of Moral Authority in American Medicine*. Reviewed for *Contemporary Sociology* 38:436-37. 2009.
- Kieran Healy, *Last Best Gifts: Altruism and the Market for Human Blood and Organs*. Reviewed for *Contemporary Sociology* 37 (6): 555-556. November 2008.
- Eileen Stillwaggon, *AIDS and the Ecology of Poverty*. Reviewed for *American Journal of Sociology* 114 (2):573-575. September 2008.
- "Health Care and New Governance: The Quest for Effective Regulation." (Guest editors' introduction to special issue; co-authored: L.G. Trubek, J.V. Rees, A.B. Hoflund, M. Farquhar, C.A. Heimer) *Regulation and Governance* 2(1):1-8. March 2008.
- Comment (on Klaus Hoeyer, "Studying Ethics as Policy"). *Current Anthropology* 46 (Supplement):S82-S83. December 2005. (Co-authored: W. Espeland and C. Heimer)
- Robert A. Kagan, *Adversarial Legalism: The American Way of Law*. Reviewed for *Journal of Law and Society*.30 (3):459-465. September 2003.
- Patricia Ewick and Susan Silbey, *The Common Place of Law*. Reviewed for *Contemporary Sociology* 28 (6):734-735. November 1999.
- Greg Squires, ed., *Insurance Redlining*. Reviewed for *Contemporary Sociology* 27 (4):364-365. July 1998.
- Daniel Chambliss, *Beyond Caring*. Reviewed for *Contemporary Sociology* 26 (5):646-647. September 1997.
- Charles Bosk, *All God's Mistakes*. Reviewed for *American Journal of Sociology* 99 (4):1112-4. January 1994.
- Jon Elster, *Local Justice*. Reviewed for *American Journal of Sociology* 99 (2):492-4. September 1993.
- Elaine Draper, *Risky Business*. Reviewed for *Contemporary Sociology* 21 (5):707-8. September 1992.
- "Comment: On Russell Hardin's 'The Social Evolution of Cooperation.'" Pp. 378-82 in Karen Schweers Cook and Margaret Levi, eds., *The Limits of Rationality*. Chicago: University of Chicago Press. 1990.
- "Rational Choice Theory about Real Life." Review of Michael Hechter, *Principles of Group Solidarity*. In *Contemporary Sociology* 18 (4): 633-4. July 1989.
- Barton Hacker, *The Dragon's Tail*. Reviewed for *Contemporary Sociology* 17 (4):518-9. July 1988.
- Mary Douglas, *Risk Acceptability According to the Social Sciences*. Booknote in *Ethics* 98 (3):630. April 1988.
- Sheila Jasanoff, *Risk Management and Political Culture*. Booknote in *Ethics* 98(2):435-6. January 1988.
- Jeanne Harley Guillemain and Lynda Lytle Holmstrom, *Mixed Blessings*. Reviewed for *Contemporary Sociology* 16 (6):878-9. November 1987.
- Dorothy Nelkin (ed.), *The Language of Risk*. Reviewed for *Contemporary Sociology* 15 (6):875-6. November 1986.
- Samuel Cohn, *The Process of Occupational Sex Typing*. Reviewed for *American Journal of Sociology* 92 (3):763-6. November 1986.
- Serge Taylor, *Making Bureaucracies Think*. Reviewed for *American Journal of Sociology* 92 (1):235-7. July 1986.
- Lloyd B. Lueptow, *Adolescent Sex Roles and Social Change*. Reviewed for *Sociology and Social Research* 70 (1):129-30. October 1985.
- Ernst Braun, *Wayward Technology*. Reviewed for *Contemporary Sociology* 14 (1):61-2. January 1985.
- Ronald J. Miller, *The Demolition of Skid Row*. Reviewed for *Contemporary Sociology* 12 (3):348-9. May 1983.
- Baruch Fischhoff, Sarah Lichtenstein, Paul Slovic, Stephen Derby, and Ralph Keeney, *Acceptable Risk*. Reviewed for *American Journal of Sociology* 88 (3):603-5. November 1982.
- Viviana A. Rotman Zelizer, *Morals and Markets*. Reviewed for *American Journal of Sociology* 86 (1):220-3. July 1980.
- "No Bane to Families." Mary Jo Bane, *Here to Stay*. Reviewed for Newsletter of the Midwest Sociologists for Women in Society, Winter, 1978:7-10.

OPINION PIECES

- C.A. Heimer. 2019. "DRC's Contested Election: Constitutional Coup or Baby Step to Democracy?" *TRT World*, February 1, 2019. <https://www.trtworld.com/opinion/drc-s-contested-election-constitutional-coup-or-baby-step-to-democracy-23793>

C.A. Heimer. 2018. "We're Advancing to a Cure After 30 Years of HIV/AIDS Treatment." *The Hill*, November 30, 2018. <https://thehill.com/opinion/healthcare/419073-were-advancing-to-a-cure-after-30-years-of-hiv-aids-treatment>

REPORTS & WORKING PAPERS (not published elsewhere)

"The Routinization of Responsiveness: Regulatory Compliance and the Construction of Organizational Routines." American Bar Foundation Working Paper #9801.

"Legislating Responsibility." American Bar Foundation Working Paper #9711. (also issued as "Rules and Responsibility: What Kinds of Rule Systems Encourage Responsibility Rather than Accountability?" WP-99-13, Institute for Policy Research, Northwestern University)

"Producing Responsible Behavior in Order to Produce Oil: Bringing Obligations, Rights, Incentives, and Resources Together in the Norwegian State Oil Company." Report #76, Institute of Industrial Economics, Bergen, Norway. Summer, 1986. (Also issued as a working paper at Center for Urban Affairs and Policy Research, Northwestern University.)

INVITED PRESENTATIONS & CONFERENCE PARTICIPATION (outside home institutions; recent only)

"Between the Constitution and the Clinic: De Facto and Formal Rights to Health in Global HIV/AIDS Care." C.A. Heimer and A.W. Tolman. Paper presented at the annual meeting of the Eastern Sociological Society, Boston, March 15, 2019.

Presenter, "Face to Face: Health," NSF workshop on "Identifying Gaps, Building Bridges: Fostering Critical Exchange between Sociolegal Studies and Science and Technology Studies," Drexel University, May 10-11, 2018.

Organizer, author meets critics panel on *Engines of Anxiety Academic Rankings, Reputation, and Accountability*, by Wendy Espeland and Michael Sauder, annual meetings of the American Sociological Association, Montreal, August 12, 2017.

"What WHO Knows: The Politics of Information Gathering in Disease Surveillance." Paper presented at the annual meeting of the Law and Society Association, Mexico City, June 21, 2017.

Participant, author meets readers panel on *Vaccine Court: The Law and Politics of Injury*, by Anna Kirkland, annual meeting of the Law and Society Association, Mexico City, June 22, 2017.

"Blue Moon Regulation and Regulatory Spaces." Paper presented at "Toward a Progressive Politics of Financial Regulation," workshop at Allard School of Law, University of British Columbia, Vancouver, BC, Canada, February 23, 2017.

"What WHO Knows: The Politics of Information Gathering in Disease Surveillance." Paper presented at the Health and Society Working Group, Institute for the Humanities, University of Illinois at Chicago, October 20, 2016.

"Rights Matter in Medical Research – But Whose Rights and Which Ones?" Paper presented in thematic panel, "Constructing the Right to Health," annual meetings of the American Sociological Association, Seattle, August 22, 2016. (Session organizer; presenter.)

"Practicing Medicine in the Shadow of the Law: Observations from HIV Clinics." Paper presented at Center for Advanced Study in the Behavioral Sciences, February 10, 2016.

"With and Without Borders: Global Regulation of Health and Healthcare," C.A. Heimer, A. Menon, and A.W. Tolman. Paper presented at the annual meetings of the American Sociological Association, Chicago, August 24, 2015.

"Punctuated Globalization: Legal Developments and Globalization in Healthcare." Paper presented at the annual meetings of the American Sociological Association, Chicago, August 24, 2015.

"Globalizing Regulation and Governance in Healthcare: The Chaotic Process of Creating Order." Paper presented at the annual meetings of the Law and Society Association, Seattle, May 28, 2015.

Organizer, session on "Standardization and the Creation of Transnational Legal Orders in Medicine and Healthcare," annual meetings of the Law and Society Association, Seattle, May 28, 2015.

"Legitimate and Illegitimate Knowledge: The Politics of Information Gathering in Disease Surveillance." Paper presented at the conference on "Production and Uses of Expertise by International Bureaucracies," Programme for the Study of International Governance, Graduate Institute, Geneva, May 21-23, 2015.

"Side Effects: Accountability in International HIV/AIDS Programs." Paper presented at Centre de Sociologie des Organisations, Sciences Po, Paris, April 16, 2015.

"Colonizing the Clinic: The Adventures of Law in HIV Treatment and Research." Paper presented at the annual meetings of the American Sociological Association, San Francisco, August 18, 2014.

- “But What Is a Clinic?” Paper presented in Professional Development Workshop, “Being There/Being Them: Stages of Entry, Exit, and In-between in Organizational Ethnography,” Academy of Management, Philadelphia, August 1, 2014.
- Participant, “Digital Learning Data as a Public Good: Forging First Principles and Protocols for Scientific Collaboration.” Asilomar Conference Grounds, Pacific Grove, CA, June 1-4, 2014.
- “The Variability of Universals: Comparative Causation in the Adoption and Use of Clinical Guidelines in HIV Clinics.” Law and Society Association, Minneapolis, May 29, 2014.
- “With and Without Borders: Global Regulation of Health and Healthcare,” A. Menon, A. Tolman, C. Heimer, and S. Balasubramanian. Paper presented at 4th Regional Colloquium on Globalization of Law, International Organizations, and International Law, Chicago, May 16, 2014.
- “Babbling About Globalization: Conceptual Incoherence and Its Consequences,” S. Balasubramanian, C. Heimer, A. Menon, and A. Tolman. Paper presented at 4th Regional Colloquium on Globalization of Law, International Organizations, and International Law, Chicago, May 16, 2014.
- “Colonizing the Clinic: The Adventures of Law in HIV Treatment and Research,” Department of Sociology, University of Arizona, March 28, 2014.
- “Regulatory Wranglers: Lay Theorizing about Context in HIV Clinics.” Paper presented at the annual meetings of the American Sociological Association, New York, August 11, 2013.
- “Aiming for Responsibility; Settling for Accountability.” Paper presented at the annual meetings of the Law and Society Association, Boston, June 1, 2013.
- “Punctuated Globalization: Legal Developments and Globalization in Medicine and Health Care” (with J. Morse and S. Balasubramanian). Paper presented at the Regional Colloquium on Globalization of Law, International Organizations and International Law, Madison, May 11, 2013
- “Disciplining Doctors vs. Improving Ethics: The Two Faces of Law in Medicine.” Department of Global Health Systems and Development, Tulane University School of Public Health and Tropical Medicine, March 8, 2013.
- “What Matters About Context? Regulatory Wranglers and Lay Theorizing About Context in HIV Clinics.” Department of Sociology, University of Toronto, February 6, 2013.
- “Resilience in the Middle: Contributions of Regulated Organizations to Regulatory Success.” Paper presented at conference on “Organizational Challenges to Regulatory Enforcement and Compliance,” MIT Sloan School of Management, December 7-8, 2012
- “Institutionalized Skepticism and the Construction of Trustworthiness.” MIT Sloan School of Management (Organization Studies Group and Economic Sociology Group), November 1, 2012
- “Blue Moon Regulation: Why the ‘Have-Nots’ Occasionally Come Out Ahead.” Paper presented at the annual meetings of the Society for the Advancement of Socioeconomics, Cambridge, MA, June 30, 2012
- “From the Courtroom to the Examining Room: What Rights Mean in HIV Clinics.” Paper presented at the annual meetings of the Law and Society Association, Honolulu, HI, June 8, 2012
- “‘Wicked’ Ethics: Compliance Work and the Practice of Ethics in HIV Clinics.” Sociology Colloquium, University of California, Berkeley, April 2, 2012
- “‘Wicked’ Ethics: Compliance Work and the Practice of Ethics in HIV Clinics.” Doctoral Colloquium, Wagner School of Public Policy, New York University, March 29, 2012
- Participant and presenter, Workshop on Rethinking Regulatory Paradigms, Centre for Regulatory Studies, Monash University, Melbourne, Australia, December 8-9, 2011
- “Global Governance on a Sub-Saharan Stage: Persistent Inequalities in Pluralistic Systems.” Africa at Noon, University of Wisconsin-Madison, November 2, 2011
- “Making the Clinic Safe for Science.” 2011-2012 Klopsteg seminar series in Science in Human Culture, Northwestern University, October 3, 2011
- “Global Governance on a Local Stage: Persistent Inequalities in Pluralistic Systems.” Paper presented in special session on “Global Health Governance and HIV/AIDS” at the annual meetings of the American Sociological Association, Las Vegas, August 21, 2011
- “Constructing the Right to Health: Universal Treatment and Access to Medicines for HIV, TB, and Malaria” (with J. Morse). Paper presented at the annual meetings of the Law and Society Association, San Francisco, June 2, 2011
- Reader comment, Authors Meet Readers Session on Tom Baker & Sean J. Griffith, *Ensuring Corporate Misconduct: How Liability Insurance Undermines Shareholder Litigation*, annual meetings of the Law and Society Association, San Francisco, June 2, 2011
- Participant and presenter, workshop on “Global Legal Regulation and Social Science Measurements: Balancing Critique and Pragmatism,” panel on “Science, Law, Policy,” Princeton University, May 20, 2011

- “Inert Facts and the Illusion of Knowledge: Strategic Uses of Ignorance in HIV Clinics.” Department of Sociology, SUNY Buffalo, April 11, 2011
- “Using Just One Drug Makes Them Guilty’: The Two Faces of Law in HIV Clinics.” Theorists and Jurists Series, Baldy Center for Law and Social Policy, University of Buffalo Law School, April 8, 2011
- Seminar on empirical research on organizations, law, and policy, Baldy Center for Law and Social Policy, University of Buffalo Law School, April 8, 2011
- “Global Governance on a Local Stage: Some Challenges of Governance in Pluralistic Systems.” Keynote address at RegNet@10: The Future of Regulation, Australian National University, Canberra, March 28, 2011,
- “Side Effects: Accountability in International HIV/AIDS Programs.” Center for the Study of Social Organization, Princeton University, February 28, 2011
- “Inert Facts and the Illusion of Knowledge: Managing Ignorance in HIV Clinics.” Seminar co-hosted by Scandinavian Consortium for Organizational Research (Scancor) and Center for Work, Technology, and Organization (WTO) at Stanford University, November 8, 2010
- “Using Just One Drug Makes Them Guilty’: The Two Faces of Law in Five HIV Clinics.” DemoSoc Seminar Presentation, Department of Political and Social Sciences, Pompeu Fabra University, Barcelona, Spain, October 27, 2010
- “The Two Faces of Law: Regulating Treatment and Research in HIV Clinics.” Paper presented at “Law@Princeton: Celebrating 10 Years of the Program in Law and Public,” Princeton University, October 22, 2010
- “Fractal Responsiveness: Making Deals and Living with Constraints in Multi-Layered Regulatory Systems.” Paper presented at Responsive Regulation Workshop, University of British Columbia, Faculty of Law, September 30, 2010
- “Governing Medicine or Guiding Medicine? Rules, Regulations, and Guidelines in HIV Clinics.” Paper presented at the annual meetings of the Academy of Management, Montreal, Canada, August 9, 2010
- “Filling the (Empty?) Vessel of the Human Right to Health.” Paper presented at the annual meetings of the Law and Society Association, Chicago, May 27, 2010
- “Using Just One Drug Makes Them Guilty’: The Two Faces of Law in Five HIV Clinics.” Michael M. Davis Seminar, Center for Health Administration Studies, University of Chicago, April 29, 2010
- “Talking Past Each Other in Agency Theory.” Paper presented at the annual meetings of the Social Science History Association, Long Beach, CA, November 13, 2009
- “Inert Facts and the Illusion of Knowledge: Managing Ignorance in HIV Clinics.” Keynote address at conference on “Strategic Unknowns: The Usefulness of Ambiguity and Ignorance in Organizational Life,” Saïd Business School, University of Oxford, October 9, 2009.
- “Side Effects: Accountability in International HIV/AIDS Programs.” (C.A. Heimer & W.N. Espeland) Paper presented at the annual meetings of the American Sociological Association, San Francisco, August 11, 2009
- “Legal Systems and Moral Codes.” Presentation at “Understanding Morality: Developing Interdisciplinary Perspectives.” National Science Foundation workshop, June 15-16, 2009
- “In the Shadow of the WHO, WTO, NIH, FDA, PEPFAR, . . . : Disciplining Medicine or Creating Chaos?” Paper presented at the annual meetings of the Law and Society Association, Denver, May 30, 2009
- Discussant, “Early Career Researchers in Regulation and Governance.” Annual meetings, Law and Society Association, Denver, May 28, 2009
- “Disciplining Medicine: What Happens When Guidelines Are Hardened by Law?” NYU Law School, February 19, 2009
- “Disciplining Medicine: What Happens When Guidelines Are Hardened by Law?” Distinguished Speaker, Boston University School of Management, February 5, 2009
- “Soft Law, Hard Science, and the Politics of Health: From Scientific Claims to Moral Obligations.” Paper presented at the annual meetings of the American Sociological Association, Boston, August, 2008
- “Side Effects: Accountability and the Treatment of HIV/AIDS.” (C.A. Heimer & W.N. Espeland) Paper presented at the annual meetings of the Law and Society Association, May 29-June 1, 2008
- “Expertise and Flexibility in Law and Medicine.” Paper presented in the seminar series of the Program in Law and Public Affairs, Princeton University, April 14, 2008
- Participant and presenter, workshop on “The Future of Global AIDS Treatment,” Princeton University, April 4-5, 2008
- “Soft Law, Hard Science, and the Politics of Health: From Scientific Claims about HIV to a Moral Obligation to Treat.” Paper presented in the Legal Studies Seminar Series, Brown University, March 7, 2008
- “Soft Law, Hard Science, and the Politics of Health.” Paper presented at the Law School, University of Wisconsin,

- Madison, Feb. 29, 2008
- Discussion on Qualitative Methods: Analyzing Law "On the Ground": Moving Beyond Anecdote in Collecting and Presenting Qualitative Data. Seminar presentation, with Elizabeth Mertz, Law School, University of Wisconsin, Feb. 29, 2008
- "Clinical Guidelines and the Politics of Health: Financing HIV Treatment." Paper presented at the Economics and Sociology Workshop, Princeton University, Feb. 25, 2008.
- "In the Shadow of the WHO, WTO, NIH, FDA, PEPFAR, . . . : HIV Clinics in Uganda and South Africa." Presentation to "Indaba," African Studies Program, Princeton University, Feb. 20, 2008.
- "Soft Law, Hard Science, and the Politics of Health: How Scientific Claims Become Moral Obligations in HIV Treatment," Paper presented at the Department of Sociology, Columbia University, Feb. 13, 2008
- Panel participant, "What's Law Got to Do with It? HIV and Public Health Law in Comparative Perspective," Temple University, Beasley School of Law, November 9, 2007
- Commentator, Sundance Conference on Organizational Research, September 28-29, 2007.
- "Resilience in the Middle: International Medicine, HIV Clinics, and Traditional Patients." Paper presented at the annual meetings of the American Sociological Association, New York, August 2007
- "Thinking About How to Avoid Thought: Deep Norms, Shallow Rules, and the Structure of Attention." Presented at Said Business School, Oxford University, June 11, 2007; symposium "Regulation and Governance of Healthcare: Alternative Practices, Theories and Visions, University of Wisconsin Law School, February 2, 2007. (Earlier versions presented at: University of Michigan (ICOS), January 20, 2006; Law and Society Association Annual meetings, Chicago, May 29, 2004; Columbia University, December 2003.)
- "New Disease, Old Dilemmas: HIV/AIDS in Sub-Saharan Africa." Presentation to Indiana Retired Ministers Association, August 31, 2006
- "How Research Shapes Medical Work: Organizational Effects of Clinical Trials." Paper presented at the annual meetings of the American Sociological Association, Montreal, August 2006. (Co-authored: JuLeigh C. Petty and Carol A. Heimer). Also presented at University of KwaZulu-Natal, Durban, South Africa. May 11, 2006
- Organizer and presider, author meets critics session on Viviana Zelizer, *The Purchase of Intimacy*, annual meetings of the American Sociological Association, Montreal, August 2006
- "Discipline and Disease: Directly Observed Therapy and the Panoptic Gaze in HIV/AIDS and Tuberculosis Control." Paper presented at the annual meetings of the Law and Society Association, Baltimore, July 7, 2006. (Co-authored: Alan Czaplicki and Carol A. Heimer)
- "Performing Regulation: Observations from Four HIV Clinics." Paper presented at the annual meetings of the Law and Society Association, July 6, 2006. (Co-authored: Carol A. Heimer and J. Lynn Gazley)
- "Responsibility in Health Care: Spanning the Boundary Between Law and Medicine." Conference on "Rethinking Health Law," Wake Forest University, December 9-10, 2005
- "Mission-Critical Gaming: Following Some But Not All of the Rules in HIV Clinics." Paper presented at the Law and Society Annual Meetings, Las Vegas, June 2, 2005
- "Drugs as Regulatory Objects: Income Streams, Prescribing Patterns, Public Health, and Moral Purity." Paper presented to the Harvard/MIT Economic Sociology Seminar, May 11, 2005.
- "The Regulated Subject: Variations in the Diffusion and Penetration of Regulations in HIV Clinics." Paper presented at the Law and Society Association Annual Meetings, Chicago, May 28, 2004. (Co-authored: Carol A. Heimer, JuLeigh Coleman Petty, Lynn Gazley, and Rebecca J. Culyba)
- The Ethnographic Turn: Fact, Fashion, or Fiction." American Sociological Association, Atlanta, August 2003. (Co-authored: Rebecca J. Culyba, Carol A. Heimer, JuLeigh Coleman Petty)
- Discussant, session on "New Directions in Institutional Analysis: Multiple Logics and the Mechanisms and Dynamics of Institutionalization and Institutional Change." Society for the Advancement of Socio-Economics, Aix-en-Provence, June 2003
- Discussant, session on "Regulatory Relationships," Law and Society Association, Pittsburgh, June 2003.
- Invited panelist, Nonprofit Scholars Conference, "Crisis of Governance: Implications for the Nonprofit/Non-Governmental Sector," Hauser Center for Nonprofit Organizations, Harvard University, April 3-4, 2003
- "Corporate Canoe Magic: Rhetorical Purity and Real Danger." Keynote address, Economic Sociology Conference, Philadelphia, March 2003.

WORK IN PROGRESS (major projects only)

The Legal Transformation of Medicine: How Rules Work in the International World of HIV/AIDS. This book project on the relation of law and medicine in HIV/AIDS treatment and research focuses on the production and use of three kinds medical protocols (clinical practice guidelines, rules for the conduct of research, and

governance protocols) as rule systems developed in dialogue with formal law. The research includes interviews with rulemakers plus ethnographic fieldwork in 5 AIDS clinics in the US, Uganda, South Africa, and Thailand. Data collection is complete, the data have been coded, many articles published, other articles and book manuscript in process.

Punctuated Globalization: Law, Institutionalization, and Globalization in Medicine and Health Care. The complexity and variability of globalization has long been recognized. Yet when we speak of the globalization of some substantive field, it is all too easy to fall into giving summary statements that gloss over variations among subparts of the field, among regions of the world, and among causes of globalization. This project uses the case of medicine and health care to look closely at variability in globalization and to think about the contribution of law to this variability. It decomposes medicine and health care into a series of domains, looking closely at each to see which domains are more and which less globalized, which participants are more and less globalized, and what role law plays in encouraging or discouraging the globalization of medicine and health care. I argue that the pattern of unevenness in globalization – what I call punctuated globalization – in part reflects the cyclical processes of legal change, followed by adjustment to new legal regimes, in turn followed by further legal adjustments. The project is in its first stages, with team members analyzing published materials on the domains and preparing for interviews with key actors.

MAJOR PROFESSIONAL AND UNIVERSITY SERVICE (recent only)

2015 -	Editorial Board, Annual Review of Law and Social Science
2014-2015	Director of Graduate Studies, Department of Sociology, Northwestern University
2014-2015	Faculty Advisory Board, Equality, Development, and Globalization Studies (EDGS), Northwestern University
2013-present	Executive Board, <i>Regulation and Governance</i>
2012-2015	Board of Trustees, Law and Society Association
2012-2015	Member, Northwestern University Faculty Reappointment, Promotion, Tenure, Dismissal Appeals Panel (UFRPTDAP)
2012-14	Faculty Advisory Board, Science in Human Culture, Northwestern University.
2012-	Faculty Advisory Board, Legal Studies, Northwestern University
2008-2012	Co-editor, <i>Regulation and Governance</i>
2009-2012	Chair, Institutional Review Board, American Bar Foundation
2009-	Member, Editorial Board, <i>Sociological Theory</i>
2003-2012	Member, Special Committee on Bioethics and Law, American Bar Association
2003-	Member, editorial committee, book series on “Risk and Regulation,” Centre for Analysis of Risk and Regulation, London School of Economics
2009	Faculty, Early Career Workshop, Law and Society Association, Denver, May 25-27, 2009
2008-11	Director of Graduate Studies, Department of Sociology, Northwestern University.
2006-07	Director, Legal Studies Program, Northwestern University
2006-07	Chair, Graduate Admissions Committee, Department of Sociology, Northwestern University
2006-07	Co-Chair, Appointments Committee, American Bar Foundation
2006-07	Steering Committee, Research Alliance to Combat HIV/AIDS (REACH) (Gates Foundation project at the Program of African Studies, Northwestern University).
2006-08	Inaugural Editorial Board, <i>Regulation and Governance</i>
2006	Consultant on NIH DAIDS - supplement to grant 5 U01 AI48054 HIV Prevention Trials Network, Laura Guay (PI) 9/1/05-5/31/06, “Infant Feeding Practices Among HIV-Infected Women in Uganda Who Receive Early Diagnosis of Their Infant’s HIV Status”
2005-06	Member, FWA Committee, Northwestern University (to review and recommend reform of IRB)
2005-06	Chair, Institutional Review Board, American Bar Foundation
2004	Committee of Visitors, National Science Foundation (review of Sociology, Political Science, and Law and Social Science Programs)
2004-08	Faculty mentor for Craig Garfield, MD, for Robert Wood Johnson Generalist Physician Faculty Scholars Award
2003-04	Member, Kalven Prize Committee, Law and Society Association
2000-03	Chair, Department of Sociology, Northwestern University
2002-05	Member (elected) and Chair (2003-05), Publications Committee, American Sociological Association; Chair, Subcommittee on Electronic Publication.

2001-04 International Board, *Journal of Sociology*
 2002-03 Member, Nominations Committee, Section on Organizations, Occupations, and Work, American Sociological Association
 2001-03 Chair Elect and Chair, Sociology of Law Section, American Sociological Association

Referee *Administrative Science Quarterly; American Journal of Sociology; American Sociological Review; The American Sociologist, British Journal of Sociology, Ethnicity, Journal of Economic Behavior and Organization, Journal of Health Politics, Policy and Law; Law and Social Inquiry; Law and Society Review; National Science Foundation (Sociology; Law and Social Science); Organization Science; Political and Legal Anthropology Review; Regulation and Governance; Robert Wood Johnson; Rose Monograph Series; Science; Social Forces; Social Problems; Social Psychology Quarterly; Social Science and Medicine; Sociological Focus; Sociological Quarterly; Sociological Theory; Theory, Culture and Society; Urban Affairs Quarterly; University of California Press; Cambridge University Press; University of Chicago Press; Wellcome Trust; Qualitative Sociology; Radcliffe Institute*

COURSES TAUGHT (course evaluations available)

Analysis of Social Data (advanced undergraduate)
 Complex Organizations (advanced undergraduate)
 Contemporary Sociological Theory (graduate)
 The Elusive Right to Health (undergraduate)
 Field Methods (graduate)
 Introduction to Models in the Social Sciences (advanced undergraduate)
 Introductory Sociology (undergraduate)
 Markets, Hierarchies, and Democracies (advanced undergraduate)
 Research Seminar on Family Dynamics and Sex Roles (In this course, graduate students designed and administered a survey, prepared data for analysis, analyzed the data, and wrote research papers.)
 Sociology of Gender Roles (undergraduate and graduate)
 Sociology of Law (advanced undergraduate)
 Sociology of Medicine (advanced undergraduate and graduate)
 Sociology of Moral Experience (graduate)
 Sociology of Risk (graduate)

COMMUNITY SERVICE

2016- Council of Advisors, Education Congo (formerly North American Liaison Bureau, Congo [DRC] Protestant University)
 2012-16 Member, Board of Directors, North American Liaison Bureau, Congo (DRC) Protestant University (Nominations Committee Chair 2013-16)