

# Ajay K. Mehrotra

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## ACADEMIC & RESEARCH APPOINTMENTS

<b>American Bar Foundation</b> <i>Research Professor</i> <i>Executive Director</i> <i>Doctoral Fellow</i>	<b>Chicago, IL</b> 2015 – Present 2015 – 2022 2001 – 2003
<b>Northwestern University Pritzker School of Law</b> <i>William G. and Virginia K. Karnes Research Professor</i> <i>Professor of Law</i> <i>Affiliated Professor of History, Northwestern University</i>	<b>Chicago, IL</b> 2022 – Present 2016 – Present 2017 – Present
<b>Maurer School of Law, Indiana University – Bloomington</b> <i>Professor of Law &amp; Louis F. Niezer Faculty Fellow</i> <i>Associate Dean for Research</i> <i>Associate Professor of Law</i> <i>Adjunct Professor of History</i> <i>Affiliated Faculty, Ostrom Workshop on Political Theory &amp; Policy Analysis</i> <i>Co-Director (with Michael Grossberg), Center for Law, Society &amp; Culture</i>	<b>Bloomington, IN</b> 2009 – 2016 2012 – 2015 2003 – 2009 2003 – 2016 2011 – 2016 2007 – 2011
<b>American Academy of Arts &amp; Sciences</b> <i>Visiting Scholar/Postdoctoral Fellow</i>	<b>Cambridge, MA</b> 2006 – 2007
<b>University of Chicago</b> <i>Lecturer, Department of History</i> <i>Teaching Assistant, Departments of Economics and History</i>	<b>Chicago, IL</b> Winter 2000 1999 – 2003

## PUBLICATIONS

### Books, Edited Volumes & Journals:

- *The Lasting Legacy of Stanley S. Surrey* (co-edited with Larry Zelenak), *Law & Contemporary Problems*, Vol. 86, Issue 3 (forthcoming 2023)
- *A Half-Century with the Internal Revenue Code: The Memoirs of Stanley S. Surrey* (co-edited and annotated with Larry Zelenak), (Durham, N.C.: Carolina Academic Press, 2022).
- *Making the Modern American Fiscal State: Law, Politics, and the Rise of Progressive Taxation, 1877-1929* (New York: Cambridge University Press, 2013; paperback edition 2014) (part of Cambridge Historical Studies in American Law and Society book series edited by Christopher Tomlins).
  - Recipient, 2014 Society for U.S. Intellectual History Annual Book Award
- *The New Fiscal Sociology: Taxation in Comparative and Historical Perspective* (co-edited with Isaac William Martin and Monica Prasad) (New York: Cambridge University Press, 2009).

### Articles, Book Chapters & Review Essays (\* peer-reviewed):

- “The Surprising Surrey: Stanley S. Surrey as Educator, Mentor, and Institution Builder,” 86 *Law & Contemporary Problems* \_\_\_\_ (forthcoming 2023).

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### PUBLICATIONS (continued)

- “Foreword: The Lasting Legacy of Stanley S. Surrey” (with Larry Zelenak), 86 *Law & Contemporary Problems* \_\_\_\_ (forthcoming 2023).
- “Experts, Democracy, and the Historical Irony of U.S. Tax Policy: Thomas S. Adams and the Beginnings of the Value-Added Tax,” 5 *Modern American History* 239–262 (2022).\*
- “The Missing U.S. VAT: Economic Inequality, American Fiscal Exceptionalism, and the Historical Resistance to National Consumption Taxes” 117 *Northwestern University Law Review* 151-190 (2022).
- “Stanley S. Surrey: A Life in Taxes,” (with Larry Zelenak) introductory chapter in *A Half-Century with the Internal Revenue Code: The Memoirs of Stanley S. Surrey* (2022).
  - Excerpt published as “Stanley S. Surrey: A Life in Taxes” *Tax Notes*, May 2, 2022.
- “Sociolegal Research, the Law Student Survey of Student Engagement, and Studying Diversity in Judicial Clerkships,” (with Shih-Chun Chien and Xiangnong Wang) 69 *Journal of Legal Education* 530-60 (2021).
- “From the Periphery to the Center and Back? A Brief History of Midwest Legal Realism,” (with Paul Baumgardner) in *Research Handbook on Modern Legal Realism*, eds. Shauhin Talesh, Elizabeth Mertz, and Heinz Klug (Cheltenham, U.K.: Edward Elgar, 2021).\*
- “The Promise and Limits of Fundamental Tax Reform: Contrasting the 1986 Tax Reform Act with the 2017 Tax Cuts and Job Act,” (with Dominic Bayer) 53 *UC Davis Law Review Online* 93-119 (2019).
- “‘Life in All Its Fullness’: Cardozo, Fellows, and the Critical Context of Welch v. Helvering,” 26 *Pittsburg Tax Review* 151-159 (2019).
- “The Myth of the ‘Overtaxed’ American and the VAT That Never Was,” *Modern American History* 2(1), 97-102 (2018).\*
- “‘Who Speaks for Tax Equity and Tax Fairness?’ The Emergence of the Organized Tax Bar and the Dilemmas of Professional Responsibility,” (with Joseph J. Thorndike) 81 *Law & Contemporary Problems* 203-40 (2018).
- “Why Atlas Hasn’t Shrugged,” 21 *Florida Tax Review* 655-85 (2018) (reviewing *Taxing the Rich: A History of Fiscal Fairness in the United States and Europe* by Kenneth Scheve & David Stasavage).
- “Fiscal Forearms: Taxation as the Lifeblood of the Modern Liberal State,” in *The Many Hands of the State: Theorizing the Complexities of Political Authority and Social Control*, eds. Kimberly Morgan and Ann Orloff (New York: Cambridge University Press, 2017).\*
- “Corporate Taxation and the Regulation of Early Twentieth-Century American Business” (with Steven A. Bank) in *The Corporation and American Democracy*, eds. Naomi Lamoreaux and William Novak (Cambridge, MA: Harvard University Press, 2017).\*
- “From Contested Concept to Cornerstone of Administrative Practice: Social Learning and the Early History of U.S. Tax Withholding,” 7 *Columbia Journal of Tax Law* 144-68 (2016) (symposium on “Reforming the IRS”).
- “The Curious Beginnings of the Capital Gains Tax Preference,” (with Julia C. Ott) 84 *Fordham Law Review* 2517-36 (2016) (symposium on “We Are What We Tax”).
- “A Bridge Between: Law and the New Intellectual Histories of Capitalism,” 64 *Buffalo Law Review* 1-23 (2016) (symposium on “Opportunities for Law’s Intellectual History”).
- “Reviving Fiscal Citizenship” 113 *Michigan Law Review* 943-71(2015) (reviewing *Learning to Love Form 1040: Two Cheers for the Return-based Mass Income Tax* by Lawrence Zelenak).

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### PUBLICATIONS (continued)

- “Charles A. Beard and the Columbia School of Political Economy: Revisiting the Intellectual Roots of the Beardian Thesis,” 29 *Constitutional Commentary* 475-512 (2014) (symposium on “One-Hundred Years Later: Revisiting Charles Beard’s An Economic Interpretation of the Constitution of the United States”).
- “From Seligman to Shoup: The Early Columbia School of Taxation and Development,” in *The Political Economy of Transnational Tax Reform: The Shoup Mission to Japan in Historical Context*, eds. W. Elliot Brownlee, Yasunori Fukagai & Eisaku Ide (New York: Cambridge University Press, 2013), 30-60.\*
- “From Programmatic Reform to Social Science Research: The National Tax Association and the Promise and Perils of Disciplinary Encounters,” (with Joseph Thorndike) *Law & Society Review* 45:3 (2011), 593-630.\*
- “American Economic Development, Managerial Corporate Capitalism, and the Institutional Foundations of the Modern Income Tax,” 73 *Law & Contemporary Problems* 25-62 (Winter 2010).
- “The Public Control of Corporate Power: Revisiting the 1909 U.S. Corporate Tax from a Comparative Perspective,” 11 *Theoretical Inquiries in Law* 497-538 (July 2010).\*
- “The Price of Conflict: War, Taxes, and the Politics of Fiscal Citizenship,” 108 *Michigan Law Review* 1053-78 (2010) (reviewing *War and Taxes* by Steven A. Bank, Kirk J. Stark, and Joseph J. Thorndike).
- “Lawyers, Guns & Public Monies: The U.S. Treasury, World War One, and the Administration of the Modern Fiscal State,” *Law & History Review* 28:1 (February 2010), 173-225 (Main article in Forum on “World War I and the Making of the Modern American Fiscal State”).\*
  - “Anger, Irony, and the Formal Rationality of Professionalism,” *Law & History Review* 28:1 (February 2010), 241-48 (Forum Response to Comments on “Lawyers, Guns & Public Monies”).
- “The Thunder of History: The Origins and Development of the New Fiscal Sociology,” (with Isaac William Martin and Monica Prasad) introductory chapter in *The New Fiscal Sociology* (New York: Cambridge University Press, 2009), 1-28.\*
- “The Intellectual Foundations of the Modern American Fiscal State,” *Daedalus: Journal of the American Academy of Arts & Sciences* (Spring 2009), 53-62.
- “‘Render Unto Caesar ...’ Religion/Ethics, Expertise, and the Historical Underpinnings of the Modern American Tax System,” 40 *Loyola University Chicago Law Review* 321-67 (Winter 2009) (Symposium on “Taxes in a Liberal Democracy: Exploring the Relationship Between Taxation and Good Governance”).
- “‘To Lay and Collect’: American Governors, Fiscal Federalism, and the Political Economy of Twentieth-Century Tax Policy” (with David Shreve) in *A Legacy of Innovation: Governors and Public Policy*, ed. Ethan Scribnik (Philadelphia: University of Pennsylvania Press, 2008), 48-75.\*
- “Mergers, Taxes, and Historical Materialism” 83 *Indiana Law Journal* 881-955 (2008).
- “Forging Fiscal Reform: Constitutional Change, Public Policy, and the Creation of Administrative Capacity in Wisconsin, 1880-1920” *Journal of Policy History* 20:1 (Winter 2008), 94-112 (Special issue on “The Constitution and Public Policy in U.S History,” eds. Julian Zelizer and Bruce Schulman).\*
- “From Berlin to Baltimore: German Historicism and the American Income Tax, 1877-1913,” in *Taxation, State and Civil Society in Germany and the United States from the 18th to the 20th Century*, eds. Alexander Nuetzenadel and Christoph Strupp (Baden-Baden: Nomos Publishers, 2007), 167-184.\*
- “Teaching Tax Stories” 55 *Journal of Legal Education* 116 (2005) (reviewing *Tax Stories*, ed. Paul Caron).

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### PUBLICATIONS (continued)

- “Envisioning the Modern American Fiscal State: Progressive-Era Economists and the Intellectual Foundations of the U.S. Income Tax,” 52 *UCLA Law Review* 1793-1866 (August 2005) (Symposium on “Rethinking Redistribution: Tax Policy in an Era of Rising Inequality”) (revised and abridged version republished as “Edwin R.A. Seligman and the Beginnings of the U.S. Income Tax,” 109 *Tax Notes* 933 (November 14, 2005)).
- “The Story of the Corporate Reorganization Provisions: From ‘Purely Paper’ to Corporate Welfare,” in *Business Tax Stories*, eds. Steven Bank and Kirk Stark (New York: Foundation Press, 2005), 27-88.
- “‘More Mighty Than the Waves of the Sea’: Toilers, Tariffs and the Income Tax Movement, 1880-1913,” *Labor History* 45:2 (May 2004), 165-198.\*
- “Father Francis E. Lucey and President Franklin D. Roosevelt: A Neo-Scholastic Legal Scholar’s Ambivalent Reaction to the New Deal,” in *FDR, the Vatican, and the Roman Catholic Church in America, 1933-1945*, eds. Richard Kurial and David Woolner (New York: Palgrave Macmillan, 2003), 105-120.\*
- “Law and the ‘Other’: Karl N. Llewellyn, Cultural Anthropology and the Legacy of The Cheyenne Way,” *Law & Social Inquiry* 26:3 (Summer 2001), 741-775.\*
- “Online Dynamic Pricing: Equity, Efficiency and the Future of E-Commerce” (with R. Weiss) 6 *Virginia Journal of Law & Technology* 11 (Summer 2001).
- “From International Treaties to Internet Norms: The Evolution of International Trademark Disputes,” (with M. Halpern) 21 *University of Pennsylvania Journal of International Economic Law* 523 (Fall 2000); selected for republication in *Intellectual Property Law Review* (Karen Tripp, ed., 2001).

### Encyclopedia & Reference Book Contributions:

- “Income Tax, Federal” for *Encyclopedia of U.S. Political History* (New York: MTM Publishing, 2010).
- “Taxation: United States Law” for *Oxford International Encyclopedia of Legal History*, ed. Stanley N. Katz (New York: Oxford University Press, 2009).
- “Arthur A. Ballantine” for *Yale Biographical Dictionary of American Law*, ed. Roger K. Newman, (New Haven: Yale University Press, 2009).
- “Bank of United States v. Deveaux” for *Encyclopedia of the Supreme Court of the United States*, ed. David S. Tanenhaus (Detroit, MI: Macmillan Press, 2008).
- “Karl N. Llewellyn” for *Encyclopedia of Law & Society: American and Global Perspectives*, ed. David S. Clark (Thousand Oaks, CA: Sage Publications, 2007).
- “Henry Carter Adams,” “Thomas S. Adams,” and “Edwin R.A. Seligman” for *Biographical Dictionary of American Economists*, ed. Ross B. Emmett (London: Continuum, 2006).
- “Taxation” for *Encyclopedia of the Home Front: World Wars I and II* (Armonk, NY: M.E. Sharpe, 2006).
- “Income Tax” for *Encyclopedia of American Business History* (New York: Facts on File, 2004).
- “Pakistani” and “Bangladeshi” entries for *Encyclopedia of Chicago* (Chicago: University of Chicago Press, 2004).
- “Taxation” and “Federal Reserve System” for *Encyclopedia of the Great Depression and New Deal* (Armonk, NY: M.E. Sharpe, 2001).

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### PUBLICATIONS (continued)

#### Brief Book Reviews:

- Review of Daniel Peart's *Lobbyists and the Making of U.S. Tariff Policy, 1816-1861* in *Journal of American History* 107:1 (June 2020), 192-93.
- Review of Edward J. Ballesein's *Fraud: An American History from Barnum to Madoff* in *Law & Society Review* 53:3 (Sept. 2019), 920-22.
- Review of Dennis S. Ippolito's *Deficits, Debt, and the New Politics of Tax Policy* in *Journal of American History* 100:4 (March 2014), 1293-94.
- Review of Molly Michelmore's *Tax and Spend: The Welfare State, Tax Politics, and the Limits of American Liberalism* in *American Historical Review* 118:2 (April 2013), 554-55.
- Review of Sheldon D. Pollack's *War, Revenue, and State Building: Financing the Development of the American State* in *American Journal of Legal History* 53:1 (January 2013), 535-37.
- Review of Gerald Berk's *Louis D. Brandeis and the Making of Regulated Competition, 1900-1932* in *Law & Society Review* 45:4 (December 2011), 1047-77.
- Review of Jeff Haydu's *Citizen Employers: Business Communities and Labor in Cincinnati and San Francisco, 1870-1916* in *Trajectories* 21:2 (Spring 2010), 31-4.
- Review of Robin Einhorn's *American Taxation/American Slavery* in *Law & History Review* 26:1 (Spring 2008), 206-8.
- Review of David Moss's *When All Else Fails: Government as the Ultimate Risk Manager* in *Labor History* 47:1 (February 2006), 147-8.
- Review of R. Rudy Higgins-Evenson's *The Price of Progress: Public Services, Taxation, and the American Corporate State, 1877-1929* in *Business History Review* 78:2 (Summer 2004), 305-7.

#### Other Writings:

- "The Rule of Law" for the Gilder Lehrman Institute for American History, Annenberg Civics Program, forthcoming 2023.
- "[Windfall Profits Taxes Have Benefits. But the Devil is in the Details.](#)" *Washington Post*, October 24, 2022.
- "[Continuity and Change from Novak's People's Welfare to New Democracy](#)" *Law & History Review Docket*, October 2022.
- "[The Fiscal Reckoning to Come: Paying for Virus Relief in an Era of Tax Cuts.](#)" *Law & Political Economy Blog*, May 22, 2020.
- "When Higher Taxes Brought Americans Together," *Washington Post*, Nov. 17, 2018.
- "Asian-Americans Facing Challenges in the Legal Industry," (with Justice Goodwin Liu), *Law360.com*, November 2017 (available at: <https://www.law360.com/articles/972477/asian-americans-facing-challenges-in-the-legal-industry>)
- "[The Notion of Tax Reform in Historical Perspective](#)," *Process: A Blog for American History*, July 13, 2017.
- "The Beginnings of the U.S. Capital Gains Tax Preference," (with Julia C. Ott) *The CLS Blue Sky Blog*, June 26, 2017 (available at <http://clsbluesky.law.columbia.edu/2017/06/26/the-beginnings-of-the-u-s-capital-gains-tax-preference/>)
- "Treating America's Fiscal Myopia," University of Virginia's Miller Center, First Year 2017 Essays, April 20, 2016 (available at: <http://firstyear2017.org/blog/treating-americas-fiscal-myopia>)

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### PUBLICATIONS (continued)

- “The Mismatch of Obama’s Middle-Class Economics,” *Huffington Post.com*, February 5, 2015 (available at: [http://www.huffingtonpost.com/ajay-k-mehrotra/the-mismatch-of-obamas-middle-class-economics\\_b\\_6624388.html](http://www.huffingtonpost.com/ajay-k-mehrotra/the-mismatch-of-obamas-middle-class-economics_b_6624388.html))
- “The Lost Promise of Progressive Taxes,” *Reuters.com*, April 15, 2014 (available at: <http://www.reuters.com/article/2014/04/15/mehrotra-taxes-idUSL2N0N71J920140415>)
- “The Bipartisan Origins of the Income Tax,” *Bloomberg.com*, April 17, 2013 (available at: <http://www.bloomberg.com/news/2013-04-17/the-bipartisan-origins-of-the-income-tax.html>)
- “How the Income Tax Created the Modern Fiscal State,” *Bloomberg.com*, February 26, 2013 (available at: <http://www.bloomberg.com/news/2013-02-26/how-the-income-tax-created-the-modern-fiscal-state.html>)
- “A Flat Tax Lowers Taxes on the Wealthy, But Doesn’t Necessarily Spur Economic Growth,” *Sacramento Bee* (and several other McClatchy news outlets), September 13, 2012.
- “American Patriarchy/American Taxation: A Comment on Marjorie Kornhauser’s *Wedded to the Joint Return*,” 11 *Theoretical Inquiries in Law Forum* 23 (2010)
- “Pay to Win: Raising Taxes During Wartime Has Never Been Fun. Why Others Did It” *Washington Monthly*, October 21, 2008.
- “Higher Taxes Can Shore Up Social Safety Net, Rebuild Infrastructure” *Sacramento Bee* (and two dozen other McClatchy news outlets) September 4, 2008.

### SELECTED PRESENTATIONS

- “Nixon’s VAT: The Rise and Fall of the 1970s Value-added Tax to Fund Education,” Law, Culture & Humanities Conference, June 2023; Law & Society Association Conference, June 2023; University of Melbourne Law School, *Faculty Workshop*, February 2023; Australian National University, *Faculty Workshop*, February 2023; Social Science History Association Conference, November 2022; ClassCrits Conference, October 2023; Northwestern University Pritzker School of Law, *Faculty Workshop*, August 2022; Law & Society Association Annual Conference, June 2022; Boston College Law School, *Tax Policy/Legal History Workshop*, April 2021; University of Oxford Centre for Socio-Legal Studies Seminar, November 2021.
- “Stanley S. Surrey: A Life in Taxes,” University of Miami Law School, *Legal Theory Workshop*, April 2021.
- “Diversity and Judicial Clerkships: Law School Study,” Law & Society Association Annual Conference (virtual), June 2021; *2021 National Asian Pacific American Bar Association (NAPABA) Convention*, Washington, DC, December 2021.
- “Sociolegal Research, the Law School Survey of Student Engagement, and Studying Diversity in Judicial Clerkships,” American Association of Law Schools Annual Conference, January 2020, Washington, DC; American Bar Foundation, Internal Faculty Workshop, Chicago, IL January 2020; Law & Society Association (virtual) Annual Conference, May 2020.
- “Economic Expertise, Democratic Constraints, and the Historical Irony of U.S. Tax Policy: Thomas S. Adams and the Beginnings of the Value-Added Tax,” World Economic History Conference, Paris (Virtual), July 2022; Social Science History Association Annual Conference, Chicago, November 2019; University of Illinois School of Law, *Faculty Workshop*, August 2019; University of Toronto Law School *Tax Policy Colloquium*, March 2019; University of Virginia School of Law, *Annual Tax Conference*, October 2018; Boston College Law School *Tax Policy/Legal History Workshop*, Sept. 2018; Georgetown University Law Center *Tax Policy Workshop*, April 2018; Harvard Law School *Legal History Colloquium*, April 2018; New York University Law School *Tax Policy Workshop*, April 2018.
- “The VAT Laggards: A Comparative History of Japanese and U.S. Resistance to the Value-added Tax,” Law & Society Association Annual Conference, Mexico City, June 2017; University of Minnesota School of Law, *Faculty Workshop Series*, Minneapolis, MN March 2015; Tel Aviv University School of Law, *Legal History Workshop*, Hebrew University of Jerusalem, *Legal History Workshop*, May 2013; *Law & Society Association Annual Conference*, Honolulu, Hawaii June 2012; *Two Political Economies in Crisis: Historical and Comparative Perspectives on the Fiscal Dilemmas Facing Japan and the United States*, Keio University Tokyo, Japan December 2011.

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### SELECTED PRESENTATIONS (continued)

- “A Brief History of U.S. Income Tax Withholding: From Contested Concept to Cornerstone of Administrative Practice,” UCLA Law School, *Public Finance Colloquium*, Los Angeles, CA March 2016; *Tax Policy Symposium: Reforming the IRS*, University of Minnesota Law School, Minneapolis, MN March 2015.
- “New Deal Taxation and the Long Twentieth Century of Progressive Taxation,” Conference on *The Constitution and Economic Inequality*, University of Texas School of Law, Austin, TX, January 2016; Loyola Los Angeles School of Law, *Tax Law Workshop*, Los Angeles, CA, September 2015; *Beyond the New Deal Conference*, UC-Santa Barbara, Santa Barbara, CA, September 2015; *Law & Society Association Annual Conference*, Seattle, WA, June 2015.
- “The Curious Beginnings of the Capital Gains Tax Preference” (with Julia C. Ott), *We Are What We Tax Symposium*, Fordham University School of Law, New York, NY, November 2015.
- “Corporate Taxation and the Regulation of Early Twentieth-Century American Business,” (with Steven A. Bank), Northwestern University Law School Workshop, Chicago, April 2015; Indiana University, *Ostrom Workshop in Political Theory and Policy Analysis*, Bloomington, IN March 2015; University of Virginia School of Law, *Legal History Workshop*, September 2014; *Policy History Conference*, Columbus, OH June 2014; *Law & Society Association Annual Conference*, Minneapolis, MN May 2014; Duke University School of Law, *Tax Policy Colloquium*, March 2014; *American Bar Foundation Research Seminar*, Chicago, IL March 2014; *Midwest Law & Economics Association*, University of Illinois School of Law, October 2013.
- “Tax Law and the New Intellectual Histories of Capitalism,” SUNY-Buffalo Law School, Buffalo, NY, October 2014.
- Panelist, “Didactic Panel Discussion” as part of the “Committee on Research – Qualitative and Mixed Methods Workshop,” *American Association of Law Schools*, Washington, D.C. January 2015; New York, NY January 2014.
- Author-Meets-Readers Panel for *Making the Modern American Fiscal State*, *National Archives and Records Administration*, Washington, D.C., December 2014; *US Society for Intellectual History Annual Conference*, Book Prize Roundtable, Indianapolis, IN October 2014; *Law & Society Association Annual Conference*, Minneapolis, MN May 2014; *Book Roundtable*, Indiana University Maurer School of Law. Bloomington, IN March 2014.
- “Taxation as the Lifeblood of the Modern Liberal State,” *The Many Hands of the State Conference*, University of Chicago’s Neubauer Collegium for Culture and Society, Chicago, IL, May 2014; *Social Science History Association Annual Conference*, Chicago, IL, November 2013.
- “Charles A. Beard and the Columbia School of Political Economy: Revisiting the Intellectual Roots of the Beardian Thesis,” *Revisiting Charles Beard’s An Economic Interpretation of the Constitution: An Interdisciplinary Symposium*, University of Virginia School of Law & Miller Center, Charlottesville, VA, October 2013.
- “Sharing the Burden: Law, Politics, and the Making of the Modern American Fiscal State, 1880-1930,” *Tulane University Tax Roundtable*, New Orleans, LA February 2013; *American Society for Legal History*, St. Louis, MO, November 2012; *Social Science History Association*, Vancouver, BC, October 2012; *Ostrom Workshop in Political Theory and Policy Analysis*, Indiana University, Bloomington, Sept. 2012; *Midwest Political Science Association Annual Conference*, Chicago, IL April 2012; *Critical Tax Conference*, Emory Law School, Atlanta, GA September 2011; *American Academy of Arts & Sciences*, Cambridge, MA March 2007.
- Panelist, “Taxation and American Politics: A Roundtable to Commemorate the One-Hundredth Anniversary of the Income Tax in America,” *American Historical Association Annual Conference*, New Orleans, LA January 2013.
- Roundtable Participant, “Perspectives on Tax Reform: Restoring the Middle Class,” *American Bar Association, Tax Section Annual Meeting*, Washington, D.C. May 2012.
- “The Paradox of Retrenchment: Post-World War I Republican Ascendancy and the Triumph of the Modern American Fiscal State,” *Association for the Study of Law, Culture and the Humanities Annual Conference*, Fort Worth, TX March 2012; *Political History and the American State Conference*, NYU Remark Institute, October 2010; *Social Science History Association Annual Conference*, Minneapolis, MN November 2006; *American Society for Legal History Annual Meeting*, Baltimore, MD November 2006.

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### SELECTED PRESENTATIONS (continued)

- “From Seligman to Shoup: The Early Columbia School of Taxation and Development,” *International Institute of Public Finance Annual Conference*, Ann Arbor, MI, August 2011; *Law & Society Association Annual Conference*, San Francisco, CA, June 2011; *Social Science History Association Conference*, Chicago, IL November 2010; *Policy History Conference*, Columbus, OH June 2010; *Politics, Economy and Culture Workshop*, Sociology Department, Indiana University, April 2010; *The Political Economy of Taxation in Japan and the United States: A Symposium on the Occasion of the 60th Anniversary of the 1949 Mission of Carl S. Shoup to Japan*, Yokohama National University/Keio University, Yokohama Japan, December 2009.
- “From Programmatic Reform to Social Scientific Research: The National Tax Association and the Promise and Perils of Disciplinary Encounters,” (with Joe Thorndike) *Law & Society Association Annual Conference*, Chicago, IL June 2010; *University of Michigan Law School Tax Policy Seminar*, March 2009; *National Tax Association Centennial Conference*, Columbus, OH November 2007; *Social Science History Association Annual Conference*, Chicago, IL November 2007; *Indiana University School of Public & Environmental Affairs Workshop* Oct. 2007; *Big Ten Junior Scholars Conference*, Bloomington, IN August 2007; *Historical Perspectives on Tax Law and Policy*, UCLA School of Law, July 2007.
- “American Economic Development, Managerial Corporate Capitalism, and the Institutional Foundations of the Modern Income Tax,” *Turning Points in the History of the Federal Income Tax*, Duke University School of Law, November 2009; *Midwest Law & Economics Association Conference*, Notre Dame University School of Law, South Bend, IN, October 2009.
- Discussant, “Institutionalizing Civic Obligations in American Political History,” *Social Science History Association Conference*, Long Beach, CA, November 2009.

### WORK EXPERIENCE

<b>J.P. Morgan</b> <i>Associate, Structured Finance – Tax Products/Derivative Vehicles</i>	<b>New York, NY</b> 1994 – 1996
<b>King &amp; Spalding/Zapruder &amp; Odell</b> <i>Summer Associate, Tax and Litigation Departments</i>	<b>Washington, DC</b> Summer 1993
<b>Professor Norman Birnbaum</b> <i>Research Assistant, Georgetown University Law Center</i>	<b>Washington, DC</b> 1993 – 1994
<b>Professor Daniel Halperin</b> <i>Research Assistant, Georgetown University Law Center</i>	<b>Washington, DC</b> 1992 – 1994

### EDUCATION

<b>University of Chicago</b> Ph.D. with Distinction in History, December 2003 Dissertation: <i>Creating the Modern American Fiscal State: The Political Economy of U.S. Tax Policy, 1880-1930</i> Committee: William J. Novak (chair), Kathleen Neils Conzen, and Amy Dru Stanley • Nominated for 2003 Society of American Historians’ Allen Nevins Prize Fields: (1) American Legal History, (2) Twentieth Century U.S. Social & Cultural History, (3) Social Theory	<b>Chicago, IL</b>
<b>Georgetown University Law Center</b> J.D., May 1994 Honors: Dean’s List, 1993-1994	<b>Washington, DC</b> Journal: <i>The Tax Lawyer</i>
<b>University of Michigan</b> B.A., with High Distinction in Economics, May 1991 Honors: Class Honors, 1987-1991; Branstrom Freshman Prize (top 10% first-year class)	<b>Ann Arbor, MI</b>
<b>London School of Economics</b> Visiting Student, Winter 1990	<b>London, UK</b>



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### GRANTS, FELLOWSHIPS & AWARDS

- Appointed Distinguished Lecturer, Organization of American Historian Distinguished Lecturer Program, 2015 - Present
- Principal Investigator, (with Traci Burch, Laura Beth Nielsen, Nicole Gonzalez van Cleve) National Science Foundation, Law and Social Science Dissertation Fellowship and Mentoring Program for Research on Law and Inequality Grant, 2020-2023
- Principal Investigator, AccessLex Institute, Emerging Scholars Fellowship Program in Legal and Higher Education Grant, 2020-2023
- Co-Principal Investigator (with Traci Burch, Laura Beth Nielsen, Nicole Gonzalez van Cleve) National Science Foundation, Law and Social Science Dissertation Fellowship and Mentoring Program for Research on Law and Inequality Grant, 2017-2020
- Co-Principal Investigator (with Bryant Garth), AccessLex Institute, Emerging and Visiting Scholars Fellowship Program in Legal and Higher Education Grant, 2017-2019
- Recipient, 2014 Society for U.S. Intellectual History Annual Book Award (for *Making the Modern American Fiscal State*)
- Recipient, Indiana University Grant-in-Aid of Research and Creative Activity, 2013-2014
- Co-Principal Investigator/Field Director (with Isaac Martin), Social Science Research Council, Dissertation Proposal Development Fellowship Program, 2013
- Recipient, Indiana University Office of the Vice President for International Affairs, Overseas Conference Grant, 2013
- Academic Leadership Program Fellow, Committee on Institutional Cooperation, 2012-13
- Indiana University, Office of the Vice President for International Affairs, Workshop Grant, 2012
- Residential Fellow, Indiana University Institute for Advanced Study, Fall 2010
- Leon E. Wallace Teaching Award, Indiana University Maurer School of Law – Bloomington, 2010
- Louis F. Niezer Faculty Fellow, Indiana University Maurer School of Law – Bloomington, 2009-14
- Indiana University, Office of the Vice President for International Affairs, Overseas Conference Grant, 2009
- Co-Principal Investigator (with Michael Grossberg), Indiana University New Frontiers in the Arts and Humanities Research Grant, 2008-9 (for yearlong colloquium on “New Directions in Law & Society Scholarship”)
- Co-Principal Investigator (with Isaac Martin), American Sociological Association/National Science Foundation Grant for the Advancement of the Discipline, 2006-7
- Post-doctoral Visiting Scholar Fellowship, American Academy of Arts & Sciences, 2006-2007
- National Endowment for the Humanities (NEH) Faculty Fellowship, 2006-2007 (designated special recognition as part of the NEH’s “We the People Project”)
- Indiana University Research Leave Supplement Award, 2006-2007
- John W. Kluge/Library of Congress Fellowship, 2006-2007 (declined to accept American Academy of Arts & Sciences Fellowship)
- William Nelson Cromwell Foundation Grant, 2005
- Trustees Teaching Award, Indiana University School of Law – Bloomington, 2005
- Participant, Alfred P. Sloan/George Washington U. Law School, “The Study of Business in Society Retreat,” Summer 2005
- Indiana University School of Law – Bloomington, Summer Research Grant, 2004-2014
- Participant, Law & Society Association Early Career Workshop, 2003
- Fellow, Law & Society Association Summer Institute, 2003
- Law and Social Science Doctoral Fellowship, American Bar Foundation, 2001-2003
- Doctoral Fellow, Alfred P. Sloan/SSRC, “Program on the Corporation as a Social Institution,” 2001-2002
- Doctoral Fellow (honorary, declined funding), University of Virginia, Miller Center of Public Affairs, 2001-2002
- American Historical Association, Littleton-Griswold Research Grant, 2002
- Fellow, University of Wisconsin, J. Willard Hurst Institute of Legal History, Summer 2001
- Gilder Lehrman Institute of American History, Travel to Archives Grant, 2001
- Harvard Business School, Alfred Chandler, Jr. Travel Grant, 2000
- University of Chicago, Arthur Mann Travel Grant, Summer 1999
- University of Chicago Graduate School Fellowship, 1996-2000

### ADDITIONAL INFORMATION

- Licensed to practice law (inactive status) in Pennsylvania and the District of Columbia
- Member, American Law Institute, 2021 - Present
- Member, Fellows of the American College of Tax Counsel, 2018 - Present
- Member, Board of Directors, Southern Educational Foundation, 2019 – Present
- Member, Board of Directors, Law School Survey of Student Engagement, 2019 - Present
- Editorial Board Member, *Law & History Review*, 2013 - Present

## Ajay K. Mehrotra

### ADDITIONAL INFORMATION (continued)

- External Reviewer, *American Historical Review*, *American Journal of Sociology*, *Cambridge University Press*, *Canadian Tax Foundation*, European Research Council, *Journal of American History*, *Journal of Art Market Studies*, *Journal of the Gilded Age and Progressive Era*, *Law & History Review*, *Law & Social Inquiry*, National Endowment for the Humanities, National Science Foundation, *Northwestern University Law Review (empirical issue)*, *Oxford University Press*, *Political Science Quarterly*, *Princeton University Press*, *Studies in American Political Development*, *University of Chicago Press*
- Mentor, Northwestern University Pritzker School of Law J.D. Diversity Mentorship Program, 2020-23
- Member, Law & Society Association, J. Willard Hurst Book Prize Committee, 2021
- Member, American Historical Association, Littleton-Griswold Book Prize Committee, 2018-20
- Member, United States Society for Intellectual History Book Prize Committee, 2018-19
- Editorial Board Member, *Law & Social Inquiry*, 2015 – 2018
- Member, Northwestern University Pritzker School of Law, Personnel Committee, 2017-18
- Executive Committee Member, Social Science History Association, 2013-2016
- Member, Board of Trustees, Law & Society Association, 2013-2016
- Member, Board of Directors, American Society for Legal History, 2014 – 2017
- Member, Law & Society Association’s Herbert Jacob Book Prize Committee, 2013-14
- Program Committee Member, 2013 Meeting of the Law & Society Association, 20
- Program Committee Member, 2013 Meeting of Social Science History Association, 2012-13
- Member, Social Science History Association, Nominations Committee, 2009-10
- Member, National Endowment for the Humanities, Faculty Fellowship Selection Committee, August 2009
- Co-chair, “State and Society” Network of Social Science History Association, 2007-8, 2008-9
- Conference Organizer (with Leandra Lederman), Critical Tax Conference, Indiana University School of Law, April 2009
- Conference Organizer (with Isaac Martin and Monica Prasad), “The Thunder of History: Taxation in Comparative and Historical Perspective,” Northwestern University, May 2007
- Member, Law & Society Association’s Student Paper Prize Committee, 2004-5
- Faculty Advisor, Indiana University Volunteer Income Tax Assistance (VITA) program, 2009-2015
- Coordinator, Indiana University Law & Society Workshop, 2003-6, 2008-11
- Member, Indiana University Maurer School of Law, Strategic Planning Committee, 2014-15
- Member, Indiana University Maurer School of Law, Speakers Committee, 2008-09, 2011-15 (chair)
- Member, Indiana University Maurer School of Law, Promotion & Tenure Committee, 2009-10, 2010-11, 2011-12 (chair)
- Member, Executive Committee, Indiana University Chapter, American Association of University Professors, 2010-12
- Member, Indiana University Maurer School of Law, PhD Admissions Committee, 2009-10
- Member, Indiana University School of Law Appointments Committee, 2003-4, 2008-9
- Member (elected), Indiana University School of Law Policy Committee, 2004-5
- Fluent in Hindi and familiar with Spanish
- Enjoy coaching and playing basketball
- Member, American Historical Association; American Society for Legal History; Law & Society Association; National Tax Association; Organization of American Historians; Social Science History Association